

**GAMING COMMISSION REGULATIONS**

**ESTABLISHING PROCEDURES FOR INVESTIGATION**

**OF VIOLATIONS OF THE COYOTE VALLEY GAMING ORDINANCE**

**Coyote Valley Gaming Commission  
Coyote Valley Band of Pomo Indians**

**Chapter 15**

**INVESTIGATIVE PROCEDURES**

Sections:

- 15.010 Responsibilities of Tribal Gaming Commission.
- 15.020 Access.
- 15.030 Tribal Gaming Inspectors.
- 15.040 Reports of Violations by Tribal Gaming Commission

15.010 Responsibilities of Tribal Gaming Commission. The Tribal Gaming Commission ("Commission") shall have primary responsibility for enforcing the Tribe's gaming ordinance, the Commission's regulations promulgated pursuant to the Tribe's gaming ordinance and other applicable law with respect to each tribal gaming activity or operation conducted on the Reservation. The Commission's primary responsibilities include: (1) the conducting of background investigations and the issuance, supervision, and revocation of gaming licenses for: (a) primary management personnel; (b) manufacturers/distributors or suppliers of personal property to any gaming facility; and (c) gaming facility employees; (2) resolving consumer or patron complaints against any gaming facility and the efforts to achieve voluntary resolution of such complaints; and (3) conducting investigations, compliance checks, and enforcement proceedings to ensure that the gaming facility is complying with the Tribe's gaming ordinance and the Commission regulations.

15.020 Access. The Commission and its authorized inspectors shall have unfettered access to all areas of any gaming facility at all times, and personnel employed by any gaming facility on the Coyote Valley Indian Reservation shall, for such purposes, provide the Commission and its inspectors access to locked and secured areas of the gaming facility as set forth in this Chapter 15.

15.030 Tribal Gaming Inspectors.

1. Employment of Inspectors. The Commission may employ inspectors, each of whom shall be independent of each tribal gaming facility activity or operation and shall be supervised and accountable only to the Tribal Gaming Commission. Inspectors

employed by the Tribal Gaming Commission shall be required to obtain a key gaming employee's license pursuant to the Tribal Gaming Ordinance.

2. Inspection Procedures. Tribal gaming inspectors shall have immediate access to any and all areas of each gaming activity or operation within any gaming facility for the purpose of ensuring compliance with the Tribe's Gaming Ordinance, the Commission's regulations or any Compact between the Tribe and the State of California, and applicable law, provided that inspector monitoring activities shall not interfere with the normal functioning of any Tribal gaming activity or operation.

3. Upon arrival at the facility, the inspector shall identify him/herself to the General Manager or the person acting in that capacity at the time of the inspection. The Commission shall issue all inspector(s) an identification or badge which the inspector shall present to the General Manager prior to conducting an inspection at the Tribe's gaming facility. The General Manager and facility employees shall cooperate with the inspector in carrying out his/her inspection of the facility and compliance with Commission regulations or any internal controls or auditing standards adopted pursuant to the Commission's regulations.

4. Where an inspector observes what he/she believes to be a violation/violations of the tribal gaming ordinance, regulations, or other applicable law by any person, whether or not such person is associated with any tribal gaming activity or operation, the inspector shall submit a written report of such violation(s) to the Commission.

5. The inspector shall not attempt to instruct facility employees or to personally correct any violations of the Tribe's Gaming Ordinance or Regulations.

15.040 Reports by Tribal Gaming Commission. The Tribal Gaming Commission shall investigate any reported violation. Upon a finding that a violation has occurred, the Commission shall submit a written report to the General Manager and the supervisor of the department in which the violation occurred, requiring that any such violation be corrected. Upon receipt of a report of violation from the Commission, the General Manager of the facility will ensure that the Commission's instructions for correcting the violation be carried out.

15.050 Sanctions. The Tribal Gaming Commission shall impose such fines and other sanctions, including suspension or revocation of a gaming license, provided in the Tribe's Gaming Ordinance, Commission regulations, or Compact as the Tribal Gaming Commission determines to be necessary.

**CERTIFICATION**

The foregoing Regulations, comprising Chapter 15, were adopted at a regular meeting of the Coyote Valley Gaming Commission held on \_\_\_\_\_, 1997, by the following vote:

AYES:  
NOES:  
ABSTAIN:  
ABSENT:

\_\_\_\_\_  
Chairman of the Coyote Valley  
Gaming Commission

ATTESTED:

\_\_\_\_\_  
Secretary of the Coyote Valley  
Gaming Commission